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UNIVERSITY OF KENTUCKY PIACED ON PROBATION

MISSION, Kans.--The University of Kentucky has been placed on probation for two years by the National Collegiate Athletic Association's Committee on Infractions as a result of violations in the conduct of the University's inter-collegiate football and basketball programs.

The penalty includes sanctions, which will prohibit the University's football team from participating in any postseason competition following the 1977 intercollegiate season. During the first year of the probationary period, the University's football team will also be prohibited from appearing on any NCAA television series.

Also, the University will be permitted to award only 25 new scholarships in the sport of football for the 1977-78 academic year, five less scholarships than permitted by NCAA legislation. The University will be permitted to award only three new basketball scholarships in each of the next two academic years (1977-78 and 1978-79).

"The Committee on Infractions found numerous significant violations in this case involving a variety of NCAA rules and regulations, and considered it to be a most serious case requiring meaningful penalties which have been imposed," said Arthur R. Reynolds, chairman of the Committee.

Reynolds continued, "It is likely that more severe penalties would have been imposed; however, the Committee, in accordance with NCAA enforcement procedures, considered as a mitigating circumstance the actions of the University to self-disclose in a full and complete manner many violations of NCAA legislation related to its intercollegiate football program which prior thereto had not been subject to inquiry by the NCAA. The University also cooperated by fully submitting all relevant information concerning allegations developed by the NCAA investigation, even when the development of new information related to certain of these allegations proved to be harmful to its athletic interests and program."

Another significant mitigating factor in the penalty imposed by the NCAA in this case was the University's decision to take meaningful corrective and disciplinary action against several athletic department staff members involved in the violations. Further, the University acted to completely sever relations with numerous representatives of its athletic interests involved in the significant violations of NCAA legislation in this case.

Reynolds emphasized, "The Committee concluded that the penalties imposed in this case should be tempered by the University's self-disclosure and cooperative efforts as well as the corrective and disciplinary actions it took."

Reynolds concluded, "The role played by the University throughout the investigation and processing of this case is commendable and exemplifies the cooperative effort envisioned by the NCAA membership in adopting and implementing the Association's enforcement procedures."

The NCAA found violations of NCAA legislation in varying degrees related to the principles governing extra benefits and financial aid to student-athletes, institutional control over athletic representatives, provisions governing the recruitment of prospective student-athletes, provisions prohibiting out-of-season football practice and provisions governing certification of institutional compliance with UCAA legislation.

Following is the complete text of the public penalties imposed upon the University of Kentucky and a summary of the violations by the University:

Penalty to be Imposed Upon Institution

1. The University of Kentucky shall be publicly reprimanded and censured, and placed on probation for a period of two years, effective December 9, 1976, it being understood that prior to the expiration of this period of probation, the NCAA shall review the athletic policies and practices of the University.
2. During the 1977-78 academic year, the University of Kentucky's inter-collegiate football team shall end its season with the playing of its last, regularly scheduled, inseason contest, and the University shall not be eligible to participate in any postseason football competition.
3. During the first year of the probationary period (December 9, 1976 - December 9, 1977), the University of Kentucky's intercollegiate football team shall not be eligible to appear on any television program subject to the administration and control of this Association, and the institution shall not make any commitments for such appearances during that time.
4. During the 1977-78 academic year, no more than 25 student-athletes in the sport of football shall receive initial athletically related financial aid (as set forth in 0.1. 500) which has been arranged for or awarded by the University of Kentucky.
5. During the 1977-78 academic year, no more than three student-athletes in the sport of basketball shall receive initial athletically related financial aid (as set forth in 0.1. 500) which has been arranged for or awarded by the University of Kentucky.
6. During the 1978-79 academic year, no more than three student-athletes in the sport of basketball shall receive initial athletically related financial aid (as set forth in 0.1. 500) which has been arranged for or awarded by the University of Kentucky.

Summary of Violations of NCAA Legislation and
Questionable Practices in Light of NCAA Requirements

1. NCAP Bylaw 1-1-(a) [improper recruiting inducements] -- (i) a representative of the University's athletic interests offered to give cash to a prospective student-athlete to insure the young man's attendance at the University; (ii) a representative of the University's athletic interests offered to give a prospective student-athlete a substantial amount of cash and a race horse in exchange for the young man's promise to attend the University; (iii) a former assistant football coach offered to arrange for the parents of a prospective student-athlete to be provided round-trip transportation between their home and Lexington, Kentucky, at no cost to them to view the University's home football games; (iv) a former assistant football coach offered to arrange for a prospective student-athlete's parents to be provided round-trip airline transportation at no cost to them in order to travel between their home and the site of each of the University's football games during the young man's attendance at the University; (v) a representative of the University's athletic interests provided an automobile cost free to a prospective student-athlete for his personal use for approximately three weeks; (vi) a former assistant football coach gave a prospective student-athlete small amounts of cash on various occasions; (vii) a representative of the University's athletic interests offered to provide auto-mobile transportation for a prospective student-athlete's parents to travel at no cost to them between their home and Lexington, Kentucky, in order to attend the University's home football games; (viii) a representative of the University's athletic interests gave cash to a prospective student-athlete to spend for his own personal reasons; (ix) a former assistant football coach gave a prospective student-athlete dress shirts; (x) a former assistant football coach arranged for a prospective student-athlete to obtain T-shirts; (xi) a representative of the University's athletic interests provided improper entertainment to a prospective student-athlete; (xii) a former assistant football coach gave a gift to the father of a prospective student-athlete; (xiii) a representative of the University's athletic interests offered to specially arrange for diesel tractors to be forwarded to the farm equipment business operated by the student-athlete's father in an effort to encourage the enrollment of the prospective student-athlete in the University; (xiv) a former assistant basketball coach gave a pair of shoes to a prospective student-athlete; (xv) a representative of the University's athletic interests gave a prospective student-athlete several gambling tickets for his personal use while entertaining the young man at a race track; (xvi) the University's head basketball coach provided gifts and mementos to three prospective student-athletes in order to encourage their enrollment in the University; (xvii) a former assistant football coach gave a prospective student-athlete two football jerseys.

2. NCAA Constitution 3-1-(a)-(1), 3-1-(g)-(6) and 3-4-(a) [amateurism and student participation, extra benefits and financial aid to student-athletes] Two assistant football coaches made cash payments to certain members of the University's intercollegiate football team for successfully performing certain plays during University football games; further, these payments were made from a fund established by a representative of the University's athletic interests.

3. NCAA Constitution 3-1-(g)-(6) and 3-4-(a) [extra benefits to student-athletes] -- (i) a representative of the University's athletic interests arranged for the automobile of a student-athlete to be repaired at no cost to the young man; (ii) a representative of the University's athletic interests arranged for a student-athlete to be provided round-trip commercial airline transportation between Lexington, Kentucky, and an off-campus site (Las Vegas, Nevada) at no cost to the young man; further, through the arrangements of the representative, the student-athlete resided for three days at no cost to him at a hotel in Las Vegas; finally, while at this off campus site, this representative gave the student-athlete cash on several occasions for his own personal use; (iii) a student-athlete and his sister were provided one-way transportation between Lexington, Kentucky, and his home at no cost to them in the privately owned aircraft of a representative of the University's athletic interests; (iv) a representative of the University's athletic interests provided meals without charge on several occasions to a student-athlete in the representative's home and the use of laundry facilities at no cost to the young man; (v) on numerous occasions over a period of four years, a representative of the University's athletic interests gave personal grooming and sundry items to various members of the University's basketball team.

4 NCAA Constitution 3-1-(g)-(6) [extra benefits to student-athletes] -- (i) a student-athlete was permitted, through the arrangements of a representative of the University's athletic interests, to reside in an apartment at no expense to the young man; (ii) through the arrangements of a representative of the University's athletic interests, a student-athlete resided at an apartment building during part of an academic year at no expense to the young man; (iii) a student-athlete (over a two-year period) received the benefit of alcoholic drinks at no cost to him at a local bar through the arrangements of a representative of the University's athletic interests; (iv) it was a regular policy (over a three-year period) of the University's athletic trainer to arrange for the parents of injured football team members to be provided cost-free lodging in local motels in order to assist in the payment of expenses to visit with the young man prior to undergoing surgery for athletically related injuries; (v) an assistant football coach arranged for several friends of a student-athlete to receive cost-free lodging in a motel; (vi) members of the University's intercollegiate basketball team (over a three-year period) and members of the University's intercollegiate football team(over a two-year period) were admitted free of charge to a movie theater; (vii) a representative of the University's athletic interests loaned a dresser, desk, dining room table with chairs and an end table to a student-athlete in order to assist the young man in furnishing his apartment.

5. NCAA Bylaws 1-1-(a), 1-5-(a) and 1-5-(c) [improper recruiting inducements, improper visitations] -- (i) a prospective student-athlete was provided three expense-paid

visits to the University's campus; (ii) several prospective student-athletes were provided two expense-paid visits to the University's campus.

6. NCAA Bylaws 1-1(a), 1-5(e) and 1-5(e)(2) [improper recruiting inducement and improper transportation] -- Through the arrangements of the University's head basketball coach, the father of a prospective student-athlete was provided transportation at no cost to him at the time he accompanied his son on an official paid visit to the University's campus.

7. NCAA Bylaws 1-1(a), 1-5(f) and 1-5(g) [improper recruiting and entertainment] - (i) a former assistant football coach entertained a prospective student-athlete in the young man's home town; (ii) the head football coach entertained a prospective student-athlete and his parents in the young man's home town; (iii) on two occasions while recruiting a prospective student-athlete, a former assistant football coach improperly entertained the young man; (iv) a former assistant football coach transported a prospective student-athlete from his home town to another site for entertainment purposes.

8. NCAA Bylaws 1-1(a) and 1-5(f) [improper recruiting inducements and off-campus entertainment] -- (i) while on their official visit to the University's campus, several prospective student-athletes were provided round-trip automobile transportation between Lexington and a farm (approximately 20 miles one way) by the head basketball coach where the prospective student-athletes were entertained; (ii) while recruiting a prospective student-athlete, a student-athlete improperly entertained and transported the prospect; (iii) a representative of the University's athletic interests entertained a prospective student-athlete and his mother at dinner in the representative's home; (iv) during a prospective student-athlete's official campus visit, a former assistant football coach transported the prospect between Lexington and a farm (approximately 20 miles one way) for entertainment purposes; (v) on one occasion, a former assistant football coach improperly entertained approximately six prospective student-athletes in his home; (vi) during an official campus visit, a prospective student-athlete was entertained on two occasions at sites not permitted by NCAA legislation.

9. NCAA Constitution 3-2 [institutional control and responsibility] -- The involvement of representatives of the University's athletic interests in the numerous violations set forth in this report demonstrates that the University of Kentucky did not adequately exercise institutional control and responsibility over these individuals.

10. NCAA Bylaw 3-2(a) [out-of-season practice] -- (i) during two academic years, certain members of the University's intercollegiate football team participated in organized, out-of-season football practice sessions conducted under the direction and supervision of a former assistant football coach; (ii) during three academic years, certain members of the University's intercollegiate football team engaged in organized, out-of-season football practice sessions in that the young men were required to participate in organized physical activities, which were conducted for disciplinary reasons under the supervision of an assistant football coach.

11. NCAA Bylaw 3-2-(a) [questionable practice] -- Members of the University's intercollegiate football team participated in organized physical conditioning programs, attendance at which several participants believed to be mandatory; further, these programs were conducted under the direction and supervision of an assistant football coach.
12. NCAA Constitution 3-1-(g)-(4) [questionable practice] -- Through the arrangements of an assistant football coach, the University's ticket office, during two previous academic years, sold at face value the complimentary tickets given to members of the University's intercollegiate football team for "away" football games, and the assistant coach distributed the proceeds of such sales to the individual student-athletes.
13. NCAA Bylaw 4-6-(d) [questionable practice: certification of compliance] --At a time when certain practices of the University's intercollegiate basketball and football programs were not in compliance with NCAA legislation, the chief executive officer of the University, based on information provided by athletic department staff members and without intent to do so, erroneously certified on July 28, 1974, and August 22, 1975, the University's compliance with NCAA legislation.
14. NCAA Bylaw 4-6-(d) [certification of compliance] -- With full knowledge at the time that certain practices of the University's intercollegiate football and basketball programs were not in compliance with NCAA's legislation, the head football coach, several assistant football coaches, as well as the head basketball coach, failed to report to University officials during an institutional review conducted in conjunction with the University's July 28, 1974, certification of compliance with NCAA legislation, their knowledge of and involvement in violations of NCAA legislation.
15. NCAA Bylaw 4-6-(d)-(4) [certification of compliance] -- With full knowledge that certain members of the University's intercollegiate football program were not in compliance with NCAA legislation, the head football coach and a former assistant football coach erroneously attested on a statement filed with the chief executive officer of the University during the summer 1975 that they had reported their knowledge of or involvement in any violations of NCAA legislation involving the institution.

